DEQ POLICY STATEMENT  
PS20-08

DISPUTE RESOLUTION ADVISORY PANEL FOR  
PLAN AND SPECIFICATION ENGINEERING DISPUTES

PURPOSE
“The Department shall review plans to determine compliance with applicable facility standards and engineering standards of care. As long as the plans and specifications comply with applicable facility and design standards, the Department shall not substitute its judgment for that of the owner’s design engineer concerning the manner of compliance with design standards” (Idaho Code § 39-118). The Idaho Department of Environmental Quality (DEQ) in conducting its duty to determine compliance with applicable standards may from time to time be in dispute with the owner’s engineer, when the plan and specifications submitted do not meet the applicable facility standards or engineering standards of care. This policy establishes a statewide Dispute Resolution Advisory Panel. The panel may be convened for the purpose of conducting reviews of engineering disputes evolving from the plan and specification process in lieu of pursuing the established formal appeal process through the Idaho Board of Environmental Quality. This policy supersedes PS15-10.

BACKGROUND
DEQ established an informal dispute resolution process that involves peer review. Any dispute that arises between a design engineer and a DEQ reviewing engineer can be initiated by the aggrieved party at the office location where the project was in process of review at the time of dispute. DEQ initiates actions to expedite the review process to include review by the regional engineering manager, peer groups, bureau chiefs, engineering lead, regional administrator, water quality administrator, and DEQ’s director for final action.

Filing a contested case review may be available to resolve certain disputes pursuant to the Idaho Administrative Procedures Act, Title 67, Chapter 52, Idaho Code, and the Rules of Administrative Procedure Before the Board of Environmental Quality, IDAPA 58.01.23. This process allows review by the Board of Environmental Quality and eventually an appeal to state District Court.

Experience tells us that the informal resolution process and/or the formal appeal process may not resolve plan and specification reviews in a timely manner. As such, DEQ proposes to implement a pilot “Dispute Resolution Process” that is comprised of professional engineers from the public
and private sector that will be charged to provide the agency with recommendations on how to effectively and efficiently resolve engineering disputes on a timely basis.

**STATEMENT OF POLICY**

**Dispute Resolution Advisory Panel**

DEQ through this policy creates a "Dispute Resolution Advisory Panel." Members of the panel shall be appointed by, and serve at the pleasure of, the DEQ director. The charge to panel members is to provide the DEQ with appropriate recommendations on resolving engineering disputes for water and sewer system projects.

The panel appointed by the director shall be comprised of licensed professional engineers experienced in civil engineering projects associated with water and sewer infrastructure. The panel shall be composed of five members. DEQ will draw upon a pool of candidates nominated from any professional engineering association, organization, or company. Each organization, association, or company may nominate up to four senior engineers to serve as panel members, two of which will have specialty experience in water designs and two with specialty expertise in sewer design. The nominees will have a resume submitted by the nominating organization and will be selected by the director based on their qualifications and experience. The DEQ director will appoint four candidates from the lists presented and a stand-by or alternate panel member should a panel member not be available. The director will additionally appoint one senior water and sewer engineer on staff at DEQ to participate on this panel. Panel members will be expected to disclose any conflicts of interest related to the dispute brought to the panel.

Panel members shall be volunteers as defined in Idaho Code § 67-2334. Panel members shall serve on the panel without financial remuneration of any kind and shall not be considered employees of DEQ. Recommendations of panel members shall not be binding on DEQ or any other party to a dispute, and panel members shall not be responsible for the decisions taken by the agency or other parties following submission of a recommendation.

**Duties of the Panel**

The members of the panel will elect a chair to conduct panel reviews and a secretary to develop and document panel recommendations.

This panel will only convene to hear issues that address substantive engineering disputes. The panel will restrict its review to these issues and will not address regulatory, legal, or other nonengineering disputes. Submittals by the aggrieved party will be made to the panel through the local regional administrator where the project is located.

The panel will meet or teleconference as soon as schedules permit and will require both parties to submit specific issues in writing. The issue papers submitted by both parties shall, at a minimum, address what is at issue, who is involved, the consequence of the action/inaction, and recommendations for resolution of the issue.

The panel will determine, based on the issues presented, if a review by the panel is warranted. This determination will be based on any outstanding engineering aspects of the dispute. If the
dispute does not involve a substantive engineering issue, then the issue will be dismissed without recommendation and returned to the respective regional administrator.

If the panel does decide to review the dispute, the panel will meet on an as-needed basis as soon as schedules permit to review all disputes in need of resolution. The decision(s) of the panel will be based only on the written information provided by both parties. There are no opportunities to hear oral arguments.

Based on a review of each dispute, the panel will document and make written recommendations that are signed by the chair and forwarded to the wastewater engineering bureau chief and the technical services engineering discipline lead, who will consult with the respective water quality administrators for decision. The recommendations of the panel are intended to assist in resolving disputes and are not binding on DEQ or any other party to the dispute.

**Responsibility**
DEQ’s wastewater engineering bureau chief is responsible for maintaining this policy.

**Implementation**
This policy is effective immediately and will remain in effect for 5 years unless amended, replaced, or rescinded prior to expiration.

Dated this 13th day of January, 2021

Jess Byrne
Director